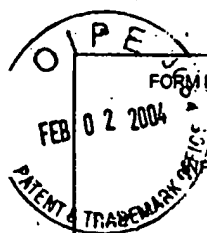
 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
	APPLICANT Lilip Lau, et al.	
	FILING DATE Herewith	GROUP ART UNIT Unknown

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
JLD	1.	4,536,893	08-27-1985	Parravicini			
	2.	4,936,857	06-26-1990	Kulik			
	3.	4,957,477	09-18-1990	Lundbäck			
	4.	5,131,905	07-21-1992	Grooters			
	5.	5,256,132	10-26-1993	Snyders			
	6.	5,558,617	09-24-1996	Heilman, et al.			
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	8.	5,702,343	12-30-1997	Alferness			
	9.	5,713,954	02-03-1998	Roenberg, et al.			
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	18.	6,045,497	04-04-2000	Schweich, Jr., et al.			
	19.	6,050,936	04-18-2000	Schweich, Jr., et al.			
	20.	6,059,715	05-09-2000	Schweich, J., et al.			
	21.	6,077,214	06-20-2000	Mortier, et al.			
	22.	6,077,218	06-20-2000	Alferness			
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	25.	6,126,590	10/03/2000	Alferness			
	26.	6,183,411 B1	02/06/2001	Mortier, et al.			

EXAMINER <i>Gilbert</i>	DATE CONSIDERED <i>3/19/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
PARA.002C3APPLICATION NO.
UnknownINFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Lilip Lau, et al.FILING DATE
HerewithGROUP ART UNIT
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SD	27.	6,076,013	06/13/2000	Brennan, et al.			
	28.	6,125,852	10/03/2000	Stevens, et al.			
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	35.	US 6,169,922 B1	01/02/2001	Alfemess, et al.			
	36.	US 6,174,279 B1	01/16/2001	Girard			
	37.	US 6,179,791 B1	01/30/2001	Krueger			
	38.	US 6,190,408 B1	02/20/2001	Melvin			
	39.	US 6,193,648 B1	02/27/2001	Krueger			
	40.	US 6,221,103 B1	04/24/2001	Melvin			
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	44.	US 6,261,222 B1	07/17/2001	Schweich, Jr., et al.			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
SD	46.	FR 2,527,435	02-02-1983	France				
	47.	WO 98/03213	01-28-1999	PCT				
	48.	WO 98/58598	12-30-1998	Germany				
	49.	WO 99/30647	06-24-1999	PCT				
SD	50.	WO 00/02500	01-20-2000	PCT				

EXAMINER

Gibvt

DATE CONSIDERED

3/19/2005

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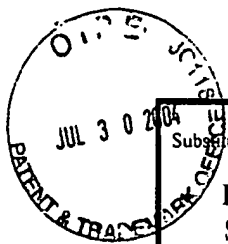
OIP FORM PTO-1449 FEB 02 2004 PATENT & TRADEMARK OFFICE (USE SEVERAL SHEETS IF NECESSARY)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
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FOREIGN PATENT DOCUMENTS								
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							YES	NO
JS	51.	WO 00/06026	02-10-2000	PCT				
	52.	WO 00/06027	02-10-2000	PCT				
	53.	WO 00/06028	02-10-2000	PCT				
	54.	WO 00/16700	03-30-2000	PCT				
	55.	WO 99/53977	10-28-1999	PCT				
JS	56.	WO 01/50981 A1	07/19/2001	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	57.	Aleim Carpentier, M.D., Ph.D., et al, <i>Dynamic Cardiomyoplasty at Seven Years</i> , <u>The Journal of Thoracic and Cardiovascular Surgery</u> , Volume 106, Number 1.
JS	58.	Eli R. Capouya, M.D., et al., <i>Girdling Effect of Nonstimulated Cardiomyoplasty on left Ventricular Function</i> , <u>The Society of Thoracic Surgeons</u> , 1993;56:867-71.
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JS	60.	Joong Hwan Oh, M.D., et al, <i>Mechanisms of Dynamic Cardiomyoplasty: Current Concepts</i> , <u>J. Card Surg</u> , 1996: 11:194-199.
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JS	62.	David A. Kass, M.D., et al., <i>Reverse Remodeling From Cardiomyoplasty in Human Heart Failure</i> , <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
JS	63.	Vinay Badhwar, et al., <i>Power Generation From Four Skeletal Muscle Configurations, Design Implications for a Muscle Powered Cardiac Assist Device</i> , <u>ASAIO Journal</u> , 1997: 43: M651-M657.
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JS	66.	THE EFFECTS OF PROSTHETIC CARDIAC BINDING AND ADYNAMIC CARDIOMYOPLASTY IN A MODEL OF DILATED CARDIOMYOPATHY, Joong Hwan Oh, MD, et al., <u>The Journal of Thoracic Cardiovascular Surgery</u> Volume 116, Number 1, Pages 148-153. 12/1998
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JS	71.	VENTRICULAR CONTAINMENT AS AN ADJUNCTIVE PROCEDURE IN ISCHEMIC CARDIOMYOPATHY: EARLY RESULTS, Jai S. Raman, et al., <u>Ann (Thorac Surg)</u> 2000;70:1124-6.
JS	72.	CARDIOTHORACIC SURGERY, <u>SURGICAL FORUM</u> , Pages 146-148 12/1996

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EXAMINER	G. Ibert	DATE CONSIDERED	3/19/2005
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Substitute for form 1449A/PTO		Complete if Known			
		Application Number	10/693,577		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 23, 2003		
		First Named Inventor	Lilip Lau		
		Art Unit	3736		
		Examiner Name	Unassigned		
Sheet	1	of	12	Attorney Docket Number	PARCR 65971

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		2,826,193		03/11/58	Vineberg	
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
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		4,261,342		04/14/81	Aranguren Duo	
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		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 91/19465		12/26/91	Frater		
		SU	1734767 A1		05/23/92	Popov et al.		
		EP	0 280 564 B1		06/16/93	Intermedics, Inc.		
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		PCT	WO 95/06447		03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/96	Northrup		
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		EP	0 583 012 B1		07/10/96	Heilman et al.		
		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505		06/12/97	Loma Linda University		
		JP	60-203250		10/14/85	Unknown		

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known Application Number: 10/693,577 Filing Date: October 23, 2003 First Named Inventor: Lilip Lau Art Unit: 3736 Examiner Name: Unassigned Attorney Docket Number: PARCR 65971				
Sheet	2	of	12					
U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No ¹	Document Number Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		4,630,597		12/23/86	Kantrowitz et al.			
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		4,821,723		04/18/89	Baker, Jr. et al.			
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		Office ³	Number ⁴	Kind ⁵ (if known)				
		SU	1009457A		04/07/83	Kulik		
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		PCT	WO 98/14136		04/09/98	Aapm Medical, Inc.		
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.		
		PCT	WO 98/29041		07/09/98	Myocor, Inc.		
		PCT	WO 98/58598		12/30/98	Haindl		
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		JP	1-271829		10/30/89	Kogyo		
		EPO	0 370 931 A1		05/30/90	Perrotin		
Examiner Signature						Date Considered		

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		Filing Date	October 23, 2003		
		First Named Inventor	Lilip Lau		
		Art Unit	3736		
		Examiner Name	Unassigned		
Sheet	3	of	12	Attorney Docket Number	PARCR 65971

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		Number	Kind Code ² (if known)			
		5,106,386		04/21/92	Isner et al.	
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		PCT	WO 99/56655		11/11/99	Correstore, Inc.		
		PCT	WO 00/13722		03/16/00	SciMed Life Systems		
		PCT	WO 00/18320		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795		08/24/00	Richebourg		
		PCT	WO 00/62727		10/26/00	Hearten Medical		
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		

Examiner Signature	Date Considered
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Sheet 4 of 12	Attorney Docket Number	PARCR 65971	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,433,727		07/18/05	Sideris	
		5,456,711		07/18/95	Hudson	
		5,500,015		03/19/96	Deac	
		5,507,779		04/16/96	Altman	
		5,509,428		04/23/96	Dunlop	
		5,524,633		06/11/96	Heaven et al.	
		5,533,958		07/09/96	Wilk	
		5,534,024		07/09/96	Rogers et al.	
		5,545,210		08/13/96	Hess et al.	
		5,571,215		11/05/96	Sterman et al.	
		5,584,803		12/17/96	Stevens et al.	
		5,593,424		01/14/97	Northrup III	
		5,593,441		01/14/97	Lichtenstein et al.	
		5,597,378		01/28/97	Jervis	
		5,603,337		02/18/97	Jarvik	
		5,607,477		03/04/97	Schindler et al.	
		5,647,372		07/15/97	Tovey et al.	
		5,647,380		07/15/97	Campbell et al.	
		5,695,525		12/09/97	Mulhauser et al.	
		5,727,569		03/17/98	Benetti et al.	
		5,782,746		07/21/98	Wright	

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Examiner Initials*	Cite No ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/01	Acorn Cardiovascular		
		PCT	WO 01/67985		09/20/01	Paracor Surgical		
		PCT	WO 01/85061		11/15/01	Acorn Cardiovascular		
		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95831		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/02	Converge Medical		

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		Application Number	10/693,577
		Filing Date	October 23, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
Sheet 5 of 12	Attorney Docket Number	PARCR 65971	

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
		5,800,334		09/01/98	Wilk	
		5,814,097		09/29/98	Sierman et al.	
		5,824,028		10/20/98	Knisley	
		5,836,311		11/17/98	Borst et al.	
		5,849,005		12/15/98	Garrison et al.	
		5,853,422		12/29/98	Huebsch et al.	
		5,865,791		02/02/99	Wayne et al.	
		5,904,690		05/18/99	Middleman et al.	
		5,927,284		07/27/99	Borst et al.	
		5,948,019		09/07/99	Shu et al.	
		5,979,456		11/09/99	Magovern	
		5,984,857		11/16/99	Buck et al.	
		5,990,378		11/23/99	Ellis	
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,071,303		06/06/00	Laufer	
		6,095,968		08/01/00	Snyders	
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		6,155,972		12/05/00	Nauertz et al.	

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		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		
		GB	2 209 678 A		05/24/89	Evans		
		DE	3831 540 A I		04/06/89	Evans		
		JP	1-145066		06/07/89	Unknown		

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		Application Number	10/693,577
		Filing Date	October 23, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
Sheet 6 of 12	Attorney Docket Number	PARCR 65971	

U.S. PATENT DOCUMENTS						
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		Number	Kind Code ² (if known)			
		6,166,184		12/26/00	Hendriks et al.	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	
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		Application Number	10/693,577
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 23, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
(use as many sheets as necessary)		Attorney Docket Number	PARCR 65971
Sheet	7	of	12

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		Number	Kind Code ² (if known)			
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		2002/0103511		08/01/02	Alfermess et al.	
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		2003/0060895		03/27/03	French et al.	
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		2003/0199955		10/23/03	Struble et al.	
		2003/0229265		12/11/03	Girard et al.	

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		Application Number	10/693,577
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	October 23, 2003
		First Named Inventor	Lilip Lau
		Group Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 65971
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Sheet	8	of	12

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		Anstadt, George L., et al., <i>A New Instrument for Prolonged Mechanical Cardiac Massage</i> , <u>Abstracts of the 38th Scientific Sessions</u> , Supplement II to <u>Circulation</u> , Vols. 31 and 32, pp. 375-384, October 1965	
		Lev, Maurice, M.D., et al., <i>Single (Primitive) Ventricle</i> , <u>Circulation</u> , Vol. 39, pp. 577-591	
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		Carpentier, A., et al., <i>Myocardial Substitution With Stimulated Skeletal Muscle: First Successful Clinical Case</i> , <u>The Lancet</u> , June 1, 1985	
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		Coletta, C., et al., <i>Prognostic Value of Left Ventricular Volume Response During Dobutamine Stress Echocardiography</i> , <u>European Heart Journal</u> , vol. 18, pp. 1599-1603, October 1997	

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		Application Number	10/693,577
		Filing Date	October 23, 2003
		First Named Inventor	Lilip Lau
		Group Art Unit	3736
		Examiner Name	Unassigned
Sheet 10 of 12	Attorney Docket Number	PARCR 65971	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		First Named Inventor	Lilip Law
		Group Art Unit	3736
		Examiner Name	Unassigned
(use as many sheets as necessary)		Attorney Docket Number	PARCR 65971
Sheet	12	of	12

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		Application for U.S. Letters Patent Serial No. 10/693,577 filed October 23, 2003	
		Application for U.S. Letters Patent Serial No. 10/314,696 filed December 9, 2002	
		Provisional Patent Application Serial No. 60/486,062 filed July 10, 2003	
		Application for U.S. Letters Patent Serial No. 10/698,237 filed October 31, 2003	
		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004	

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
PARA.002C3APPLICATION NO.
UnknownINFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Lilip Lau, et al.FILING DATE
HerewithGROUP ART UNIT
Unknown

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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